

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

6381-08-CA

In Re Application Of: Hubert Barth, et al.

Serial No.

09/869,871

Filing Date

7/6/01

Examiner

Group/Art Unit

Title: METHOD FOR PRODUCING SYMMETRICAL AND ASYMMETRICAL CARBONATES

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

## 37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

## 37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-0455 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)
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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on October 23, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
<i>Cindy Malocha</i> Signature of Person Mailing Correspondence
Cindy Malocha Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: October 23, 2001

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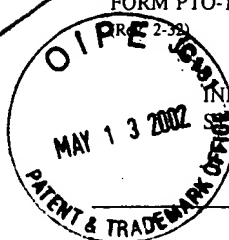
FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCE PATENT AND  
TRADEMARK OFFICEATTY. DOCKET NO.  
6381-08-CASERIAL NO.  
09/869,871INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
HUBERT BARTH, ET AL.FILING DATE  
7/6/01

GROUP



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
7 0 3 3 7 1 5	03.02.95	Japan (not available)			
		will send later			x

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

	PCT International Search Report, PCT/EP00/00340
	Fang and Fujimoto, "Direct synthesis of dimethyl carbonate from carbon dioxide and methanol catalyzed by base", <i>Appl. Catal.</i> , Vol. 142, No. 1, 1996, pp L1-L3
	Butcher, "Carbamate esters: a simple, mild method of formation", <i>Synlett</i> , 1994, pp 825-826
	Database WPI, Section CH, Week 199515, Derwent Publications Ltd., London, GB, Class A41, AN 1995-110592

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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